

Dealer's Representative/Broker License Application Check list

*Please complete this checklist along with the <u>application form</u> and include this page as the cover sheet for the application			
#	Details (if applicable)	Remarks	✓
	Application Fee of MRF 100/-		
	Have you been licensed or registered in any place under any law which requires licensing or registration as a broker?		
	Have you been licensed, registered or otherwise authorized by law to carry on any trade, business or profession in any place?		
	Have you been refused the right or restricted in your right to carry on any trade, business or profession for which a specific license, registration or other authority is required by law in place?		
	Have you been suspended from acting as a broker in any jurisdiction or been otherwise disciplined by a securities exchange or any other regulatory authority?		
	Have you been refused a broker's license in any other jurisdiction?		
	No name other than the name or names shown in this application		
	Have you been convicted of any offence other than a traffic offence in Maldives or elsewhere or are there any proceedings now pending which may lead to such a conviction?		
	Have you had judgments including findings in relation to fraud, misrepresentation or dishonesty been given against you in any civil proceedings, in Maldives or elsewhere?		
	Have you been declared bankrupt or compounded with or made an arrangement for the benefit of your creditors, in Maldives or elsewhere?		
	Complete details of the DC that you wish to represent		
	Copy of NIC and the Broker Training Certificate attached		
	Clearance form and Employer Notification Form attached		

This part shall be completed by the primary contact for this application

Name:

Designation:

Date of completion:

Signature:

Licensing Section (LS)
Capital Market Development Authority (CMDA)
Male', Maldives

Official Use Only

Name:

Date:

Signature:
