

Form 2

APPLICATION FORM FOR RENEWAL OF DEALER'S LICENCE

Application is hereby made for the renewal of a Dealer's license in respect of:

1 (a) Applicant's name:

.....
.....
.....

(b) Expire date of license:

.....
.....
.....

(c) License No:

.....
.....
.....

2. Complete the following: (Answer "Yes" or "No" in space provided. If the answer is "Yes", give in detail all relevant particulars in the space provided).

(a) Since the last application:-

(i) Has there been a change in the shareholders of the applicant?

.....
.....
.....
.....

(ii) Has there been a change in the applicant's directors, secretary, senior management personnel or external auditor?

.....
.....
.....
.....

(iii) Has the applicant been convicted of any offence other than a traffic offence in Maldives or elsewhere or are there any proceedings now pending which may lead to such a conviction?

.....
.....
.....
.....

(iv) Has the applicant or any of its directors or its secretary been suspended from membership of any securities exchange or otherwise disciplined by a securities exchange?

.....
.....
.....
.....

(v) Have any of the applicant's directors been a director of any company other than those referred to in the last application?

.....
.....
.....
.....

(vi) Has the applicant taken any disciplinary action against any of its representatives?

.....
.....
.....
.....

(vii) Has the applicant received any complaint?

.....
.....

.....
.....

3. Has any director or secretary of the applicant within the past 10 years:-

(a) been suspended from membership of any securities exchange or otherwise disciplined by a securities exchange? If answer is "YES" give details thereof:

.....

(b) been convicted of any offence other than a traffic offence in Maldives or elsewhere or are there any proceedings now pending which may lead to such a conviction? If answer is "YES" give details thereof:

.....

(c) had judgment including findings in relation to fraud, misrepresentation or dishonesty been given against him in any civil proceedings, in Maldives or elsewhere? If answer is "YES" give full details, including whether judgment is unsatisfied or not:

.....

(d) been declared bankrupt or compounded with or made an assignment for the benefit of his creditors, in Maldives or elsewhere? If answer is "YES" give details thereof:

.....

(e) been engaged in the management of any company other than those referred to in the last application? If answer is "YES" give details thereof:

.....

(f) been disciplined by any professional body or other membership body? If answer is "YES" give details thereof:

.....

4. We are aware of the provisions of section 32 of the Maldives Securities Act relating to false statements in applications.
5. We declare that all information given in this application and in the attached annexure (if any) is true and correct.

Number	Name of Director/Company Secretary	Signature	Date

Note:

- (1) If space is insufficient to provide details, please attach annexure(s). Any such annexure should be identified as such and signed by the signatory to this application.
- (2) This application must be accompanied by a last audited balance-sheet and profit and loss account certified as required under this regulation.
- (3) The application form must be signed by all the Directors and Company Secretary of the Company.