

## **Invitation for Proposal to conduct Broker Training Program**

The Capital Market and Corporate Governance Institute of CMDA is planning to conduct a broker training program, in November 2009. CMDA invites all interested parties to send their proposals by 3.00 PM on 18th October 2009

Upon completion of the proposed training program, participants will satisfy the education requirements for qualification of a broker as set forth in the Regulation on Dealer's/Dealer's Representative Licensing.

Course Outline:

<ol style="list-style-type: none"><li>1. <b>Financial Markets and Economics (Including financial institutions, Stock Exchange operations)</b></li><li>2. <b>Regulations and Regulatory environment (Securities Law, regulations and ethics including Corporate Governance)</b></li><li>3. <b>Types of Securities (Financial products)</b></li><li>4. <b>Trading Clearing and settlement</b></li></ol>	<ol style="list-style-type: none"><li>5. <b>Capital markets (Equity etc., applied valuation)</b></li><li>6. <b>Financial Analysis</b></li><li>7. <b>Equity analysis (Market Analysis)</b></li><li>8. <b>Portfolio management</b></li><li>9. <b>Fund Management</b></li><li>10. <b>Advisor skills</b></li><li>11. <b>Risk Management</b></li></ol>
---	---

*\*Case Studies and Assignments may be given*

Please note that the CMCGI will select the proposals based on experience and merit. The proposal should cover the above areas, but may include other areas which may be relevant.

### **Other requirements**

- The course conductor should be an experienced financial services practitioner and should have conducted similar courses.
- Develop course materials as guided by CMDA

### **Course duration:**

This course will be conducted for working 10 days (2 weeks).

**For further information please call 333 6619, 333 6618**